

# **Background Check**

## **John Smith**

**Prepared at the Request of Counsel:  
Jones & Jones LLP**

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## Table of Contents

Introduction.....	3
Executive Summary .....	3
Personal Information.....	3
Employment Information.....	3
Education Verification .....	3
Professional Information.....	3
Business Filings .....	3
Real Property .....	3
Court Filings .....	4
Adverse Filings .....	4
Internet Presence.....	4
Internet Registration .....	4
UCC Filings .....	4
Specific Governmental Databases .....	4
News Filings .....	4
Recommendations.....	4

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## Introduction

At the request of Jones & Jones LLP, a background investigation was initiated on John Smith. A review of proprietary databases (Lexis-Nexis, Choicepoint, etc.) was conducted, which containing public information as well as identifiers, which include:

Adverse Filings (bankruptcies, liens & judgments)	FAA registered pilots
Aircraft ownership	Federal court filings
Business filings at local, state and federal agencies	News sources
Business databases	Patent & trademark registrations
Civil & criminal court cases	Real Property
Credit bureau data	UCC Filings
Department of motor vehicles	Watercraft

## Executive Summary

Narrative on findings, with attention paid to any potential red flags.

## Personal Information

Basic identifiers found in filings of public agencies and third party sources, which may include date of birth, SSN, address history, phone numbers, possible family members, etc.

## Employment Information

Verification of previous employment through the subject's resume as well as third party sources.

## Education Verification

Usually for employment purposes, verification of education data listed on the subject's resume.

## Professional Information

Information regarding professional licensures and certifications as well as memberships in professional associations.

## Business Filings

Incorporation and DBA filings.

## Real Property

Searches of public records databases in areas where subject has a presence, which helps show financial stability.

## **Court Filings**

Searches of federal and state databases in areas where subject has a presence, both criminal and civil.

## **Adverse Filings**

Searches of public records databases in areas where subject has a presence, which may include bankruptcies, liens & judgments.

## **Internet Presence**

Shows websites and context where subject is mentioned such as advertising, blogs, personal websites, etc.

## **Internet Registration**

Information regarding the domain, including when registered, contact info, etc.

## **UCC Filings**

Uniform Commercial Code filings at the Secretary of State are filings of interest against property, submitted by a creditor.

## **Specific Governmental Databases**

Agencies such as the U.S. Trade & Patent Office and the Securities and Exchange Commission may offer information on the subject.

## **News Filings**

Databases of news articles dating back to the 1970s can be searched for any articles relating to the subject. Information found nowhere else can be found in newspaper articles.

## **Recommendations**

A review of the above information may have provided direction for additional investigative tasks.